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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Plutzky, *et al.*

Appl. No.: 09/940,858

Filed: August 29, 2001

FOR: **Oxidized Forms of Retinoic Acid As  
Ligands for Peroxisome Proliferator  
Activated Receptor Gamma**

Art Unit: 1615

Examiner: to be assigned

Atty. Dkt.: 81994/28421

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**Supplemental Information Disclosure Statement**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56. These documents were first cited in the International Search Report for counterpart international application no. PCT/US01/41934, a copy of which is enclosed. Copies of the listed documents are also enclosed.

Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application. This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made.

Consideration of the cited documents and making the same of record in the prosecution of the above-captioned application are respectfully requested. It is believed that all fees due for consideration of this Information Disclosure Statement have been provided for

herewith. Any additional fees which may be due may be charged to our Deposit Account No. 03-3975 under order no. 81994/282421.

Respectfully submitted,

PILLSBURY WINTHROP LLP

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May 23, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Re: Supplemental Information Disclosure Statement  
Appl. No. 09/940,858  
Filed: August 29, 2001  
Title: **Oxidized Forms of Retinoic Acid As  
Ligands for Peroxisome Proliferator  
Activated Receptor Gamma**  
Inventor(s): Plutzky, *et al.*  
Atty. Dkt.: 81994/282421

Sir:

The following documents are being forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Supplemental Information Disclosure Statement;
2. List of References Cited by Applicant;
3. References AA1-AC1, AH1-AI1 and AL1; and
4. Form indicating receipt by the PTO of the above items.

It is respectfully requested that the enclosed Receipt be stamped with the date the enclosed documents are received by the PTO and that it be returned as soon as possible.



PILLSBURY WINTHROP LLP

Assistant Commissioner for Patents  
May 23, 2002  
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Applicants do not believe that any fee is due for the filing of these documents. However, the Commissioner is hereby authorized to charge any fee deficiency to our Deposit Account No. 03-3975 under Order No. 81994/282421.

Very truly yours,

PILLSBURY WINTHROP LLP

Michael A. Sanzo  
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MAS:ct  
Enclosures